


FORM PTO-892		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. <b>09/087,574</b>	GROUP ART UNIT <b>2765</b>	ATTACHMENT TO PAPER NO.	 <b>17</b>
<b>NOTICE OF REFERENCES CITED</b>				APPLICANT(S)  <b>HARRINGTON et al.</b>			
<b>U.S. PATENT DOCUMENTS</b>							
*		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	A	5,774,880	6/1998	Ginsberg	705	36	
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
<b>FOREIGN PATENT DOCUMENTS</b>							
*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB- CLASS
	L						
	M						
	N						
	O						
	P						
	Q						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	R	"Internet Benefits SF Redevelopment Offering," California Public Finance, v10, n21, pN/A, 13 January 1997					
	S	Dazzo, Nicholas J.; "In Today's Muni Market, Data Bases, Not Books, Provide Dealers, Clients With Timely Bond Data: Municipal bond dealers have never had it so easy," The Bond Buyer, v289, #2821,					
	T	29 August 1989.					
	U	"JP Morgan and Capital Link in Bid to develop Electronic Auction for Corporate Debt Securities," Trading Systems Technology, c2, n20, 24 April 1989.					
EXAMINER <b>Forest Thompson Jr.</b>			DATE <b>August 22, 2000</b>		Form892ccs2106b		
* A copy of this reference is not being furnished with this office action. (See Manual of Patent Examining Procedure, section 707.05(a).)							

FORM PTO-892		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. <b>09/087,574</b>	GROUP ART UNIT <b>2765</b>	ATTACHMENT TO PAPER NO. <b>17</b>	<b>17</b>
<b>NOTICE OF REFERENCES CITED</b>				APPLICANT(S) <b>HARRINGTON et al.</b>			
<b>U.S. PATENT DOCUMENTS</b>							
*		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
<b>FOREIGN PATENT DOCUMENTS</b>							
*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB- CLASS
	L						
	M						
	N						
	O						
	P						
	Q						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	R	"Grant Street Advisors Is Setting Up The First Muni Bond Auction Web Site," The Bond Buyer, v320, #30159, pg. 1, 4 June 1997.					
	S	Richmyer, Richard; "Survey Shows Bond Market is Warming Up to Electronic Trading," The Bond buyer, v321, #30213, 20 August 1997.					
	T	Stirland, Sarah; "News and Trends: Brokers-Dealers to hawk Products Via Satellite and cable TV," The Bond Buyer, v320, #30125, 16 April 1997.					
	U						
EXAMINER <b>Forest Thompson Jr.</b>			DATE <b>August 22, 2000</b>				
Form892ccs2106b							
* A copy of this reference is not being furnished with this office action. (See Manual of Patent Examining Procedure, section 707.05(a).)							